Attorney's Docket No.: 10830-074001 / A36-134162M/NHK



Applicant: Nobuaki EMA Art Unit: 2877

Serial No.: 09/933,691 Examiner: Gordon J. Stock, Jr.

Filed : August 21, 2001

Title : OPTICAL COMPONENT MEASUREMENT APPARATUS AND METHOD OF

TESTING OPTICAL COMPONENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Applicant submits copies of the references listed on the attached form PTO-1449. Copies of English-language abstracts for listed references AI and AK are included as well. A copy of a search report from a foreign patent office in counterpart European application 01120284 is also enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. I, the undersigned, hereby certify that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated April 27, 2004, which is not more than three months prior to the filing of this statement.

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Respectfully submitted,

Date: 5/28/04

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Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 10830-074001

Application No. 09/933,691

Disclosure Statement

**by Applicant** (Use several sheets if necessary)

Applicant Nobuaki EMA

Group Art Unit

(37 CFR §1.98(b))

Filing Date
August 21, 2001

2877

| U.S. Patent Documents |              |                    |                     |                 |       |          |                            |
|-----------------------|--------------|--------------------|---------------------|-----------------|-------|----------|----------------------------|
| Examiner<br>Initial   | Desig.<br>ID | Document<br>Number | Publication<br>Date | Patentee        | Class | Subclass | Filing Date If Appropriate |
|                       | AA           | 5,127,725          | 07/07/1992          | Mueller et al.  |       |          |                            |
|                       | AB           | 4,676,635          | 06/30/1987          | Sakamoto et al. |       |          |                            |
|                       | AC           |                    |                     |                 |       |          |                            |
|                       | AD           |                    |                     |                 |       |          |                            |
|                       | AE           |                    |                     |                 |       |          |                            |
|                       | AF           |                    |                     |                 |       |          |                            |
|                       | AG           |                    |                     |                 |       |          |                            |
|                       | AH           |                    |                     |                 |       |          |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |        |             |             |               |       |          |      |               |
|---|--------|-------------|-------------|---------------|-------|----------|------|---------------|
| Examiner  | Desig. | Document    | Publication | Country or    | Class | Subclass | Abst | lish<br>racts |
| Initial   | ID_    | Number      | Date        | Patent Office | Class | Subclass | Yes  | No            |
|   | AI     | EP0625261B1 | 06/12/1996  | Europe        |       |          | X    |               |
|   | AJ     | 0582442A2   | 02/09/1994  | Europe        |       |          |      |               |
|   | AK     | 60-85351    | 05/14/1985  | Japan         |       |          | X    |               |
|   | AL     |             |             |               |       |          |      |               |
|   | AM     |             |             |               |       |          |      |               |

| Other Documents (include Author, Title, Date, and Place of Publication) |              |          |  |  |
|---|--------------|----------|--|--|
| Examiner<br>Initial   | Desig.<br>ID | Document |  |  |
|   | AN           |          |  |  |
|   | AO           |          |  |  |
|   | AP           |          |  |  |
|   | AQ           |          |  |  |

| Examiner Signature   | Date Considered |  |  |  |  |  |
|--|-----------------|--|--|--|--|--|
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |  |  |  |  |  |